**Questionnaire for organisations**

The IFoA is consulting on policy proposals to introduce new rules on authorisation, supervision and complaints handling for DPB firms.

Organisations responding to the consultation should use this questionnaire. Please follow the “How to” guidance below, to respond on behalf of your organisation. If you would like to provide a personal response, please [go to our questionnaire for individuals](https://forms.office.com/pages/responsepage.aspx?id=vrU_XK2tDkuSD433-d6sdOcKkAGiKfJNit7iaLXI4L9UOEVBSjRNS09TRFhPQlRDV0hUQkxKRDZPTiQlQCN0PWcu).

If you have any questions about these proposals or about responding to this consultation, or if you require materials in a different format, please get in touch by emailing the team at dpb@actuaries.org.uk.

Thank you for taking part in this consultation. Your input is very valuable to the IFoA.

**How to take part using this questionnaire**

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| **1** | **Read the proposals** | Please ensure that everyone involved in drafting your organisation’s response has read the full proposals before taking part. [Go to the IFoA website to read the proposals](https://actuaries.org.uk/standards/regulatory-communications-and-consultations/current-consultations/proposals-for-new-dpb-rules/). |
| **2** | **Draft response** | Please use this questionnaire to draft your response. To help coordinate your response you can use [Track Changes](https://support.microsoft.com/en-us/office/track-changes-in-word-197ba630-0f5f-4a8e-9a77-3712475e806a) to collaborate with colleagues.Please only include personal data relevant to your response and that you are lawfully entitled to supply. Any personal data provided in this questionnaire, or otherwise, will be processed in accordance with UK data protection law and the IFoA’s [Privacy Policy](https://www.actuaries.org.uk/privacy-policy). |
| **3** | **Submit response** | Please send your completed response to dpb@actuaries.org.uk no later than 14 June 2024.Please provide a copy of your response in the format of this download (“.docx”), as we will be using automation to collate responses in Microsoft Excel. |

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| **About your response to the consultation** |
| **Your publication preferences** |
| Following the consultation, the IFoA will publish a report which includes:* a list of organisations who have responded; and
* the full written comments received in response to each consultation question.

The IFoA will not publicly attribute comments to respondents, unless the comments themselves identify the respondent, either directly or indirectly.If you would prefer that your organisation’s name or comments not to be included in the published report, please indicate that here. |
| Do you agree to your organisation’s name appearing in a published list of respondents? | Please select |
| Do you agree to your organisation’s comments being published in the report? | Please select |
| **About your organisation** |
| **Name** | Click to respond |
| **Type** | Please select | Click here to specify “Other” |
| **About IFoA members employed by your organisation** |
| **Number** | How many IFoA members does your organisation employ? | Please select |
| **Locations** | Below is a list of the fifteen countries in which most IFoA members are based.Please tick all countries in which IFoA members employed by your organisation are based. Please use the additional space provided to list any countries not included in the list. |
| **Australia** [ ]  | **Canada** [ ]  | **China** [ ]  | **Hong Kong** [ ]  | **India** [ ]  |
| **Ireland** [ ]  | **Kenya** [ ]  | **Malaysia** [ ]  | **New Zealand** [ ]  | **Singapore** [ ]  |
| **South Africa** [ ]  | **Switzerland** [ ]  | **UK** [ ]  | **USA** [ ]  | **Zimbabwe** [ ]  |
| Click to list all other counties not included above |
| **Your contact details (if applicable)** |
| Following the consultation, we may contact you about your response. If you are content to be contacted by us, please provide details. |
| **Name** | Click to respond |
| **Email address** | Click to respond |
| **ARN** | Click to respond |
| Please send your completed form to dpb@actuaries.org.uk by no later than 14 June 2024 |

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| **Your response to the consultation (1 of 2)** |
| **Question 1** |
| Do you have any comments on the proposals to improve the authorisation process for DPB firms? |
| Click here to provide your comments |
| **Question 2** |
| Do you have any comments on key regulatory risks and what data the IFoA should collect as part of the DPB Annual Return and review process? |
| Click here to provide your comments |
| **Question 3** |
| Do you have any comments on the operation of the DPB Annual Return and how this might be improved?  |
| Click here to provide your comments |
| **Your response to the consultation (2 of 2)** |
| **Question 4** |
| Do you have any comments on the operation of DPB firm monitoring visits and how these might be improved in practice? |
| Click here to provide your comments |
| **Question 5** |
| Do you have any comments on our proposals to improve complaints handling by the IFoA (and by DPB firms)? |
| Click here to provide your comments |